



CONFIDENTIAL REPORT

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IMMUNOLOGY & MICROBIOLOGY REPORT

“Evaluation of Ceiling UVC Air sterilizer device in reducing airborne *Mycobacterium tuberculosis* (MTB H37Ra) in a laboratory testing facility”

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TABLE OF CONTENTS

STATEMENT	2
LEGAL DISCLAIMER	2
1 ABBREVIATIONS & ACRONYMS	4
2 PURPOSE	5
3 LOCATION OF CHALLENGE EXPERIMENTS	5
4 INTRODUCTION	5
5 INSTRUMENTATION AND METHODS	6
6 ANALYTICAL METHODS	9
7 MICROCLIMATIC PARAMETERS	10
8 RESULTS AND DISCUSSION	11
9 CONCLUDING REMARKS	13
10 REFERENCES	14
11 SIGNATURES	15



1 ABBREVIATIONS AND ACRONYMS

ACHe	Equivalent air changes per hour
BMS	Building Management System
BSL	Biological safety level
CADRe	Equivalent clean air delivery rate
CDC	Centres for Disease Control and Prevention
[conc]	Concentration
L/min	Litres per minute
MTB	<i>Mycobacterium tuberculosis</i>
MTB H37Ra	Avirulent <i>Mycobacterium tuberculosis</i> strain
NHLS	National Health Laboratory Service
NIOH	National Institute for Occupational Health
NIOSH	National Institute for Occupational Safety and Health
O ₂	Oxygen
PCR	Polymerase chain reaction
PTFE	Polytetrafluoroethylene
qRT-PCR	Quantitative real time polymerase chain reaction
RH	Relative humidity
W	Watt



2 PURPOSE

The purpose of the laboratory experiments was to evaluate the performance of the Ceiling UVC Air sterilizer unit in reducing airborne *Mycobacterium tuberculosis* (MTB H37Ra) in a laboratory facility using a decay method. The aim is to assess the disinfection performance of the device over a set time period and equate the performance to equivalent air changes per hour.

3 LOCATION OF CHALLENGE EXPERIMENTS

The experiments were conducted in a test laboratory established at the Bioaerosol Unit, NIOH, Johannesburg, South Africa, simulating a healthcare room. The Ceiling UVC Air sterilizer was challenged with aerosols of a known concentration of an avirulent strain of *Mycobacterium tuberculosis* (MTB H37Ra). The test room measured 45,60 m³ and has the approximate dimensions of a typical hospital isolation room. The door was kept closed during the experiments and opened only at specific time intervals for sample collection. The room has a plenum ceiling, one door and sealed windows. Sample extraction and analysis was performed in a biosafety level 3 (BSL 3) laboratory.

4 INTRODUCTION

Airborne transmission of *Mycobacterium tuberculosis* and other airborne infectious agents within indoor environments has been a recognized hazard for decades. In congregate settings for example health facilities, correctional facilities, transportation (public and aircrafts), mines, offices etc., the risk of airborne transmission of MTB is a continuing problem, thus TB infection control programmes need to be strengthened (Godfrey-Faussett and Ayles, 2003; Singh & Matuka, 2015; Matuka *et al*, 2015). Droplet nuclei can remain airborne for several hours, can travel over long distances, and be distributed widely throughout buildings. The chain of infection is therefore influenced by the air quality in any particular setting. It is believed that airborne transport of microorganisms represents a weak link in the infection transmission route and one where control measures may have the greatest chance of breaking the infection cycle (Nardell *et al*, 2002).



5 INSTRUMENTATION AND METHODS

The following methods and instruments were used for the Ceiling UVC Air sterilizer instrument's performance evaluation experiments:

5.1 Culture preparations

An avirulent strain of MTB (MTB H37Ra, ATCC 25177) was used during the experiments. MTB H37Ra is the attenuated form of the virulent MTB strain. We used the avirulent strain for the experiments for safety reasons as it is considered a low-level hazard and since it contains the gene sequence that is similar to the MTB virulent strain that can be detected by the PCR method used. It is a suitable surrogate to the wild MTB strains as it is closely related phenotypically and genotypically. In the experiments, we were assessing the performance of Ceiling UVC Air sterilizer instrument's capability of inactivating the bacilli and not infectivity of MTB where a virulent strain is needed. Known concentrations of fresh cultures of MTB H37Ra were prepared in sterile water containing 0.05% Tween to avoid clumping using a 0.7 McFarland standard ($\sim 1.5 \times 10^6$ to 3×10^6 cfu/ml) as a reference of the bacterial suspension so that the number of bacteria aerosolised was within a given range (Biosan, 2015).

5.2 Airborne bacteria generation and collection

The stock solution of MTB H37Ra strain concentration of $\sim 1.5 \times 10^6$ MTB bacilli/ml was aerosolised using a 6-jet Collision Nebulizer (SKC, USA). The aerosol was generated from the nebuliser discharge port into the test room by a compressed air cylinder containing medical oxygen (O₂) (Afrox, SA) at 275 kPa. The nebuliser aerosolised the microorganisms for 45 minutes and was positioned at a height of 0.9 m, mimicking the average hospital bed height. To ensure uniform particle size distribution and a consistent airborne bacterial concentration and physiological state during the experiments (with and without the device operating), a fresh bacterial cell suspension was replenished for each sampling session. The sampling procedure used was NIOH 0360.



The suspension was aerosolized for 45 minutes, with a ceiling paddle fan providing adequate air mixing in the room. After 45 minutes, the aerosolization was stopped to allow decay, and the disinfection device (Ceiling UVC Air sterilizer) was turned on. Samples were collected using polytetrafluoroethylene (PTFE) filters and high volume sampling device (SKC, USA) at 20L/min and positioned at a height of 1.5 m above the floor, the average height of a standing individual's breathing zone (e.g. health worker). Air samples were collected at one location in the room (see Figure 1) at 10 minutes sampling intervals: 0 (immediately after initial aerosolization is stopped), 10, 20, 30, 40, 50 and 60 minutes. After the 60 minutes sample was taken, the test room was decontaminated by switching on the plant room using the Building Management System (BMS) for 30 minutes at 12 ACH followed by surface decontamination using three step cleaning process according to NIOH0360. Sterile water (lab negative) was used as a negative control in-between ON and OFF tests to rule out any contamination between the tests runs. The OFF test intervals follows the same protocol. The collected samples were quantified using quantitative real time polymerase chain reaction (qPCR) and reported as DNA copies/m³ where one DNA copy represents one bacterial cell (NIOH0290). The equivalent air changes per hour (ACHe) provided by the device was determined from the slope of the plots of the concentration decay. All negative controls tested were negative for MTB.

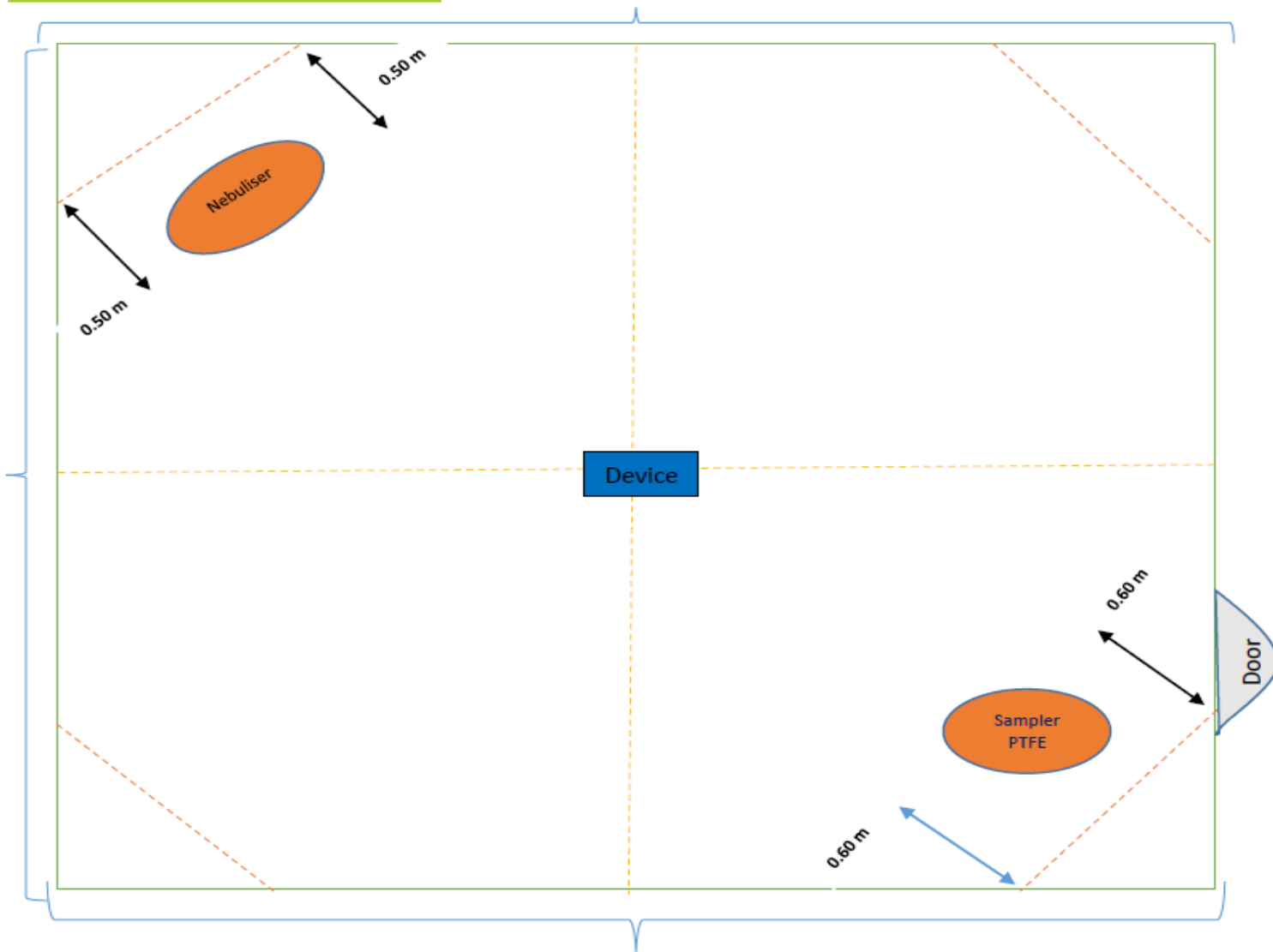
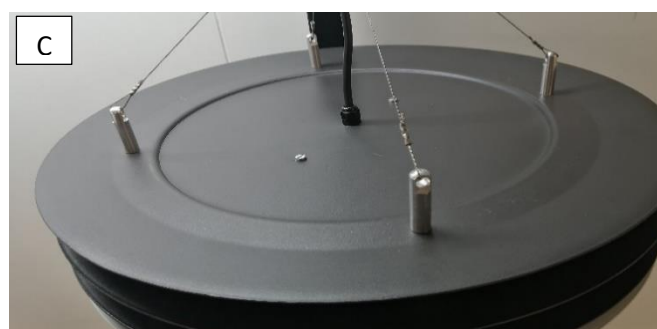
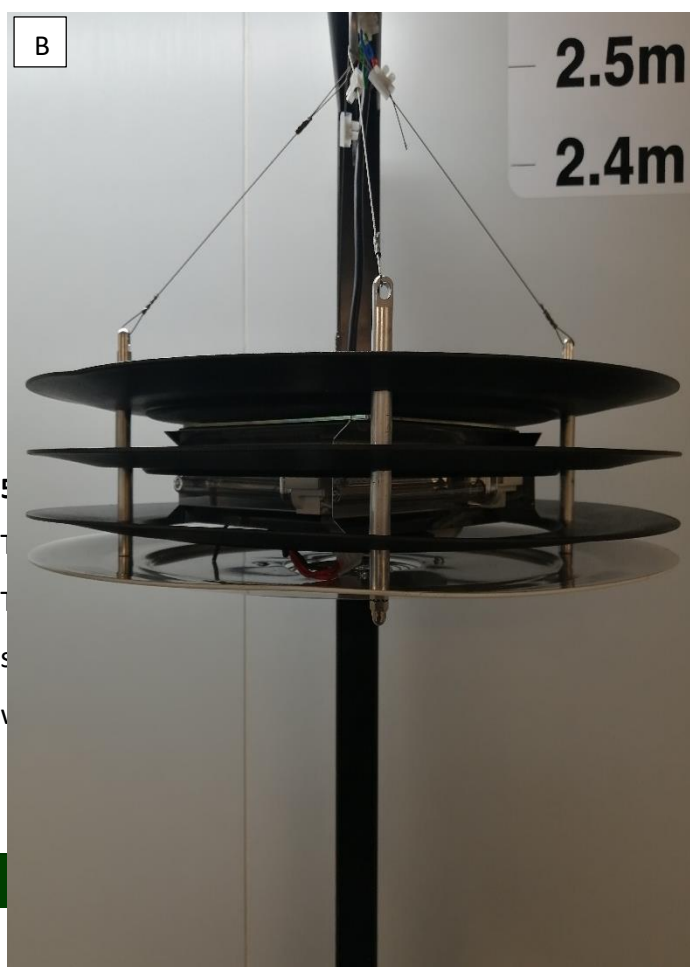


Figure 1 Illustration of the test room (height: 3.0 m) and the positioning of the nebuliser (height: 0.9m), sampling filter (height 1.5m) and the Ceiling UVC Air sterilizer (height 2.0m)

5.3 Ceiling UVC Air sterilizer device

According to the manufacturer, the Ceiling UVC Air sterilizer (SN 4C-230HB) device from Ashur illumination (Pty) Ltd is intended to be used to sterilize airborne pathogens in health care facilities. The instrument uses UVC germicidal lamps (figure 2) to disinfect the airborne pathogens.



top (C) view of the Ceiling UVC Air sterilizer device

er which allows for the capturing of airborne particulates.
pling session. The pump was calibrated using a TSI 4100
t the air-sampling rate had been constant. If the flow rate
n was repeated [6].

Detection and Quantification of airborne MTB

The samples were analysed in duplicate using the relative quantitative real-time polymerase chain reaction (qPCR) assay (LightMix kit detection of *Mycobacterium* spp/*M. tuberculosis*) and the LightCycler 1.5 instrument (Roche, Germany). Briefly, samples were extracted in the laboratory to obtain the DNA. An approximately 1 kb long fragment within the 16S rRNA gene that is *Mycobacteria* genus specific and also

contains a segment that is specific to *M. tuberculosis* complex is amplified. Negative (kit and lab) controls, kit positive, lab positive and standard control were included and the analysis were done in triplicate as per NIOH 0431. A run was only accepted if all the controls passed and the PCR Efficiency was between 1.8 – 2.2. The CV amongst repeats for all controls and samples was accepted if $\leq 20\%$.

7 MICROCLIMATIC PARAMETERS

The average temperature, humidity and airflow were set and measured using the automated Building Management Operation System (StruxtureWare).

Room temperature and relative humidity: The performance of the device was tested at average temperatures of 21,15°C when the device was off and 22,42°C when the unit was on. The average relative humidity was 58,82% RH when the device was off and 52,99% RH when the device was on. The conditions were within the recommended indoor temperature (20-24°C) and humidity (50– 70%) prescribed in the Standard for laboratory testing of UVGI air and surface rate constants. The performance of the device may vary outside these temperature and humidity ranges.

Air velocity: The average air velocity in the room was 0.03 m/s when the device was operational, whereas when the device was off it was 0.02m/s.

Table 1 Microclimatic parameters of the test room with Ceiling UVC Air sterilizer device on vs off

Microclimatic parameters			Date: 01/06/2020
Temperature:	Average T°C	Min T°C	Max T°C
Device: OFF	21,84	21,04	22,61
Device: ON	22,43	21,98	22,92
Humidity:	Average (% RH)	Min (% RH)	Max (% RH)
Device: OFF	51,34	49,79	53,03
Device: ON	56,76	54,32	595,84
Air Velocity:	Average (m/s)	Min (m/s)	Max (m/s)
Device: OFF (room fan on)	0,02	0,02	0,03
Device: ON (room fan off)	0,03	0,02	0,03



8 RESULTS AND DISCUSSION

After aerosolising a known concentration of MTB H37Ra for 45 minutes (baseline) the average concentration of bacilli detected was $1,88 \times 10^6$ MTB DNA copies/m³ and reduced to $1,18 \times 10^2$ MTB DNA copies/m³ when the Ceiling UVC Air sterilizer device was on for 60 minutes. When the Ceiling UVC Air sterilizer device was off the original aerosol concentration was $5,07 \times 10^6$ MTB DNA copies/m³ after 60 minutes and reduced to $1,18 \times 10^2$ (Table 2). The microclimatic parameters were all within the ranges as stipulated in the Standard for laboratory testing of UVGI air and surface rate constants. When the Ceiling UVC Air sterilizer device was operational it achieved 10,598 AChE and CADRe of 134,247 l/s in a room of 45,600 m³. The device's results (spatial averages) appear consistent and reflect the performance of the device in the lab. Figure 3 provides a graphical presentation of the curve of the MTB decay.

The WHO policy on TB infection control strongly recommends the application of defined ventilation rates based on the number of occupants, which is proportional to the risk. This approach is preferred to the universal application of a volumetric room air exchange rate. Based on the recommended WHO ventilation rate of 12 ACH (air changes per hour)² in airborne precaution rooms, an adjustment for a room volume of 24m³ yields an equivalent ventilation rate of 80l/s/person. This resultant value can be applied to high risk settings with mechanical ventilation. 160l/s/person should be applied to similar rooms relying on natural ventilation (Singh, et al, 2015). Where these recommended ventilation rates cannot be achieved, the implementation of alternative air cleaning devices with a deactivation capacity equivalent to this ventilation rate should be pursued.



Table 2 Average decay of airborne MTB H37Ra concentration over a period of 60 minutes and the equivalent air changes per hour achieved.

Time	Ceiling UVC Air sterilizer device (DNA copies/m ³)	
	ON (mean)	OFF (mean)
0	1,88E+06	5,07E+06
10	2,46E+04	4,84E+06
20	3,06E+03	6,28E+06
30	1,48E+03	3,10E+06
40	5,54E+02	4,43E+06
50	2,15E+02	2,36E+06
60	1,18E+02	1,18E+06
Outdoor Concentration	0.00	
Mean Age of Air	5,25	
Nominal Air Change time	5,16	
Air Change Rate	11,63	
Air Change efficiency %	49,19	
Room volume	45,600 m ³	
CADRe	134,247 l/s	
ACHe (On - Off)	10,598 AC/h	

Formula: ACH_e = 1/nominal air change time x 60; CADRe = 1000 x (ACH_e x room volume) / 3600

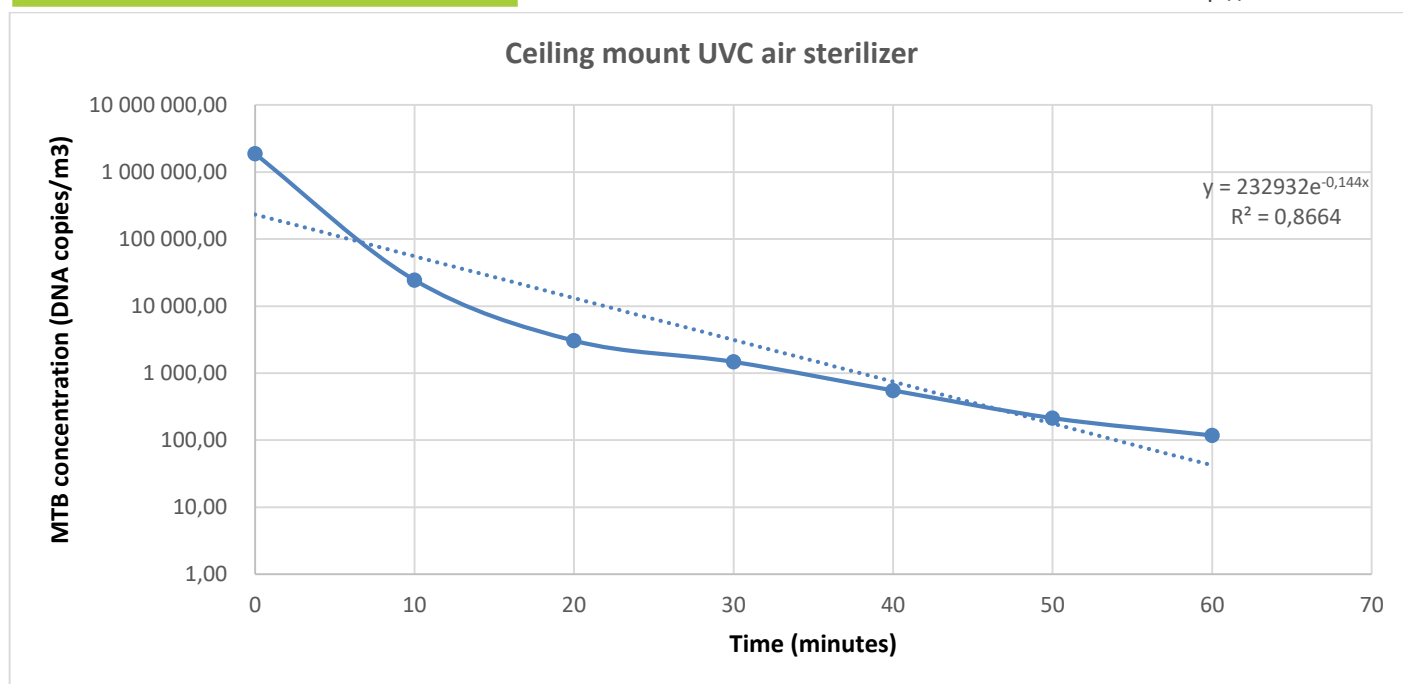


Figure 3 MTB concentration decay curve when the Ceiling UVC Air sterilizer device was on. The solid line shows the decay of MTB concentration when the device was operational.

9 CONCLUDING REMARKS

The results obtained from the MTB decay test for the Ceiling UVC Air sterilizer device demonstrates that the device is capable of achieving 10,598 ACHe and CADRe of 134,247 l/s in a room of 45,600 m³. The number of devices required for differing room volumes and occupancy can be calculated using CADRe as described in the guideline entitled “UVGI disinfection of room air an evidence based guideline for design, implementation and maintenance”.



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11 SIGNATURES

We, **Thabang Duba and Lufuno Muleba**, conducted the air sampling in the test laboratory and the PCR analysis, we hereby declare that the results and findings are a true reflection of conditions encountered during the experiments and samples analysed in the laboratory.

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I, **Zethembiso Ngcobo**, conducted the PCR analysis and hereby declare that the results and findings are a true reflection of samples analysed in the laboratory.

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REVIEWED AND APPROVED BY: I, Tanusha Singh, accept technical responsibility for the content of this report and hereby approve the report for release.

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DATE: 05/06/2020